

DIPLOMA CENTRE



Diploma in Finance Law

COURSE OVERVIEW

This diploma provides participants with a comprehensive and in-depth understanding of the law in relation to the provision of financial services from a national and international perspective. This dynamic area is constantly subject to regulatory and statutory changes at both a European and national level.

The modules in this diploma will consider the current financial services market in Ireland and the regulatory framework in which it operates. Ireland is recognised as a global financial services and technology hub, and the course will examine the use of FinTech and RegTech in the industry. The course also focuses on specific technical and legal aspects, including a review of the underlying principles and characteristics of banking and financial markets, perspectives on corporate finance, and the regulatory issues that relate to domestic and international capital markets.

PROGRAMME OBJECTIVES

On completion of this training programme, participants will have:

- A comprehensive understanding of finance law and legal regulations/directives that relate to specific financial services sectors in Ireland, and the impact of Brexit on these;
- A thorough understanding of the regulatory framework for the financial services industry in this jurisdiction and the ability to analyse recent developments in this area;
- A specialised knowledge of the banking industry and a detailed knowledge of the provisions of a range of banking documentation;
- Familiarity with a range of various industry sectors, including investment firms, investment funds, insurances/reinsurance, and undertakings and stockbroking;
- A knowledge of the use of technology to improve activities in finance, such as FinTech and RegTech;
- An overview of the effects of recent case law and legislative developments on financial services in Ireland.

MODULES

Module 1: Financial services market

- Legislative provisions,
- The Central Bank and regulation,
- Investment firms,
- FinTech.

Module 2: Industry sectors

- Investment funds,
- Insurance,
- Pensions.

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Module 3: Banking sectors

- Regulation of banking in Ireland,
- Codes of practice,
- Corporate banking.

Module 4: Loan financing

- Loan Market Association,
- Equity financing,
- Recovery and restructuring.

Module 5: Capital markets and corporate governance

- Bond markets,
- International Swaps and Derivatives Association,
- Irish Stock Exchange,
- Corporate governance,
- Money laundering,
- Insider dealing and market manipulation.

WHO SHOULD ATTEND?

This course is suitable for the following:

- Solicitors, trainee solicitors, paralegals, and other suitable professionals who wish to expand their knowledge of the financial services industry with a view to moving into the area;
- Solicitors, those in the banking industry, and other suitable professionals seeking to gain a thorough understanding of the rules governing the regulation of banking and a detailed knowledge of banking documentation;
- Tax consultants, accountants, bankers, fund managers and other suitable professionals who wish to familiarise themselves with the funds industry in Ireland; and
- Directors or relevant professionals who wish to familiarise themselves with compliance issues in relation to the financial services industry.

If you are interested in applying for a future offering of the course, please subscribe to our [Interested List](#).

Note: The information in this document is subject to change as course content and modules may be adapted.